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## Liquid Crystals

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L. V. Mirantsev<sup>a</sup>

<sup>a</sup> Leningrad Branch of Mechanical Engineering Research Institute, Academy of Sciences of the U.S.S.R., Leningrad, U.S.S.R.

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## Free surface-induced bilayer smectic A phase in polar liquid crystals

by L. V. MIRANTSEV

Leningrad Branch of Mechanical Engineering Research Institute,  
Academy of Sciences of the U.S.S.R. Leningrad, 199178, U.S.S.R.

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Here the influence of the free surface on both a thick (semi-infinite) layer and a thin freely suspended film of a polar liquid crystal is investigated. It is shown that within the temperature range of the monolayer smectic A phase ( $S_{A_1}$ ) the interaction between polar molecules and the free surface of the liquid crystal gives rise to a bilayer smectic A, a structure with long range antiferroelectric order ( $S_{A_2}$ ) in the surface region of the semi-infinite layer. The dependence of the bilayer smectic order parameter on the strength of the interaction between the constituent molecules and the free surface as well as temperature and the distance to the free surface are determined. Sufficiently far from the  $S_{A_1}$ – $S_{A_2}$  transition the latter dependence has an exponential character and the depth of the  $S_{A_2}$  phase penetration into bulk liquid crystal is equal to the longitudinal correlation length for the bilayer smectic A structure fluctuations in the  $S_{A_1}$  phase. However, near the  $S_{A_1}$ – $S_{A_2}$  transition the bilayer smectic order parameter decays non-exponentially and more rapidly with increasing distance to the free surface. In addition, it is found that the bilayer  $S_{A_2}$  phase can form several smectic layers at the free surface of a semi-infinite polar liquid crystal layer with the  $S_{A_1}$  phase. Finally, it is shown that in a freely suspended film the free surface-induced  $S_{A_2}$  phase can completely occupy the volume of the sample. Hence in a freely suspended polar liquid crystal film the  $S_{A_1}$ – $S_{A_2}$  transition occurs with decreasing film thickness.

### 1. Introduction

It is known that both a free surface and an interface between different media have a considerable influence on phase diagrams and the thermodynamical properties of various systems, including liquids and liquid crystals [1]. For example the liquid–solid substrate interface not only imposes some orientational order on the isotropic phase of liquids having a nematic phase [2–6] but also induces orientational ordering in non-mesogenic liquids [7]. Furthermore in [8–12] it has been shown that the smectic A phase can be formed at both the free surface of a nematic and a nematic–solid substrate interface, and such a surface-induced smectic A phase can be revealed by means of the Freedericksz transition [13].

The influence of the surface on strongly polar liquid crystals consisting of molecules having end groups ( $-\text{CN}$  or  $-\text{NO}_2$ ) with a large permanent electric dipole moment ( $\sim 4\text{ D}$ ) is particularly interesting. The point is that various types of smectic A phase, namely monolayer smectic A ( $S_{A_1}$ ) with a layer thickness  $d$  nearly the equal to molecular length  $l$  (see figure 1(a)), partial bilayer smectic A ( $S_{A_d}$ ) with  $l < d < 2l$ , and bilayer  $S_{A_2}$  and  $S_{\bar{A}}$  phases in which a monolayer smectic A structure coexists with bilayer structures ( $d \approx 2l$ ) with long range antiferroelectric order (see figures 1(b) and (c), respectively) occur [14, 15]. The direct transitions between these smectic A phases ( $S_{A_1}$ – $S_{A_2}$ ,  $S_{A_1}$ – $S_{\bar{A}}$ – $S_{A_2}$ ,  $S_{A_d}$ – $S_{A_2}$  etc.) have been observed [14–16]. Therefore, we can

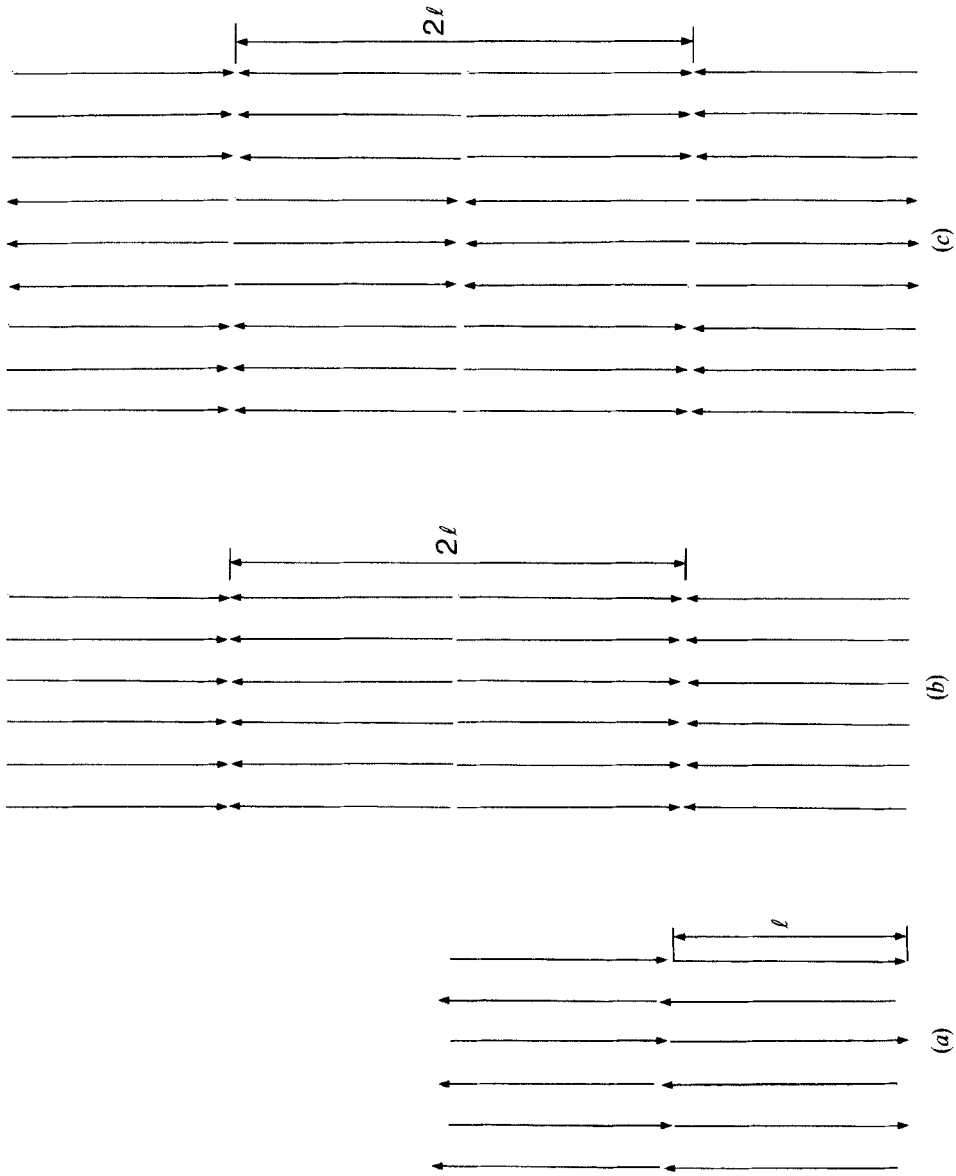


Figure 1. Smectic A structures existing in polar liquid crystals. (a) Monolayers  $S_{A1}$  phase ( $d = l$ ). (b) Bilayer smectic A structure in a  $S_{A2}$  phase ( $d = 2l$ ). (c) Bilayer smectic A structure in a  $S_A$  phase ( $d = 2l$ ).

expect particularly interesting changes in the phase diagrams of such systems to be caused by the interaction between the mesogenic molecules and the surface, for example, surface-induced transitions between different smectic A phases and the coexistence of several smectic A phases.

X-ray studies show that several layers of the bilayer  $S_{A_2}$  phase are formed at a free surface of a polar liquid crystal which exhibits only a monolayer  $S_{A_1}$  phase [17, 18]. This effect has not been observed in classic smectic A phases consisting of non-polar molecules. The following qualitative explanation of such a phenomenon has been given in [17, 18]. The free surface of the liquid crystal induces not only a homeotropic orientation of the polar molecules in the first surface layer, but also aligns the molecular polar heads into the bulk phase and the aliphatic tails towards the free surface. The next layer has the opposite orientation, and so on. As a result, there appears at the free surface the bilayer  $S_{A_2}$  phase with long range antiferroelectric order.

Here a theoretical description of this phenomenon is offered. This description is based on both de Jeu and Longa's microscopic models for the  $S_{A_1}$  and  $S_{A_2}$  phases in polar liquid crystals [19] and Parsons's idea of polar ordering of mesogenic molecules by a free surface [20]. It is shown that the interaction between polar molecules and the free surface of a thick (semi-infinite) layer results in the appearance of a surface-induced  $S_{A_2}$  phase at any temperature within range of the  $S_{A_1}$  phase in the bulk sample. The dependence of the bilayer smectic order parameter on the strength of the molecule-free surface interaction as well as temperature and the distance to the free surface are determined. Sufficiently far from the  $S_{A_1}$ - $S_{A_2}$  transition in the bulk sample the latter dependence has an exponential character and the depth of the  $S_{A_2}$  penetration into the bulk is equal to the longitudinal correlation length for the bilayer smectic A fluctuations in the  $S_{A_1}$  phase. However, in the vicinity of the  $S_{A_1}$ - $S_{A_2}$  transition the bilayer smectic order parameter decreases non-exponentially and more rapidly with decreasing distance to the free surface. The depth of  $S_{A_2}$  penetration into the bulk of the semi-infinite layer is found to be equal to several molecular lengths. It is also shown that in a thin freely suspended polar liquid crystal film the free surface-induced  $S_{A_2}$  phase can occupy a sample completely, i.e. the  $S_{A_1}$ - $S_{A_2}$  transition occurs with decreasing film thickness.

## 2. Free energy density in a polar liquid crystal layer with regard to the interaction between the molecules and the free surface

Let us consider a polar liquid crystal layer having a free surface. The temperature is assumed to be within the  $S_{A_1}$  range for an infinite and homogeneous sample of the same material. For simplicity let us assume that the orientational order is perfect (the long axes of all the molecules are oriented parallel to the director  $\mathbf{n}$ ). This assumption is reasonable because the observed N- $S_{A_1}$  transition temperatures in polar materials are considerably lower than those of the I-N transitions [14, 16]. The director  $\mathbf{n}$  is assumed to be aligned along the  $z$  axis which is normal to the free surface of the layer and  $z = 0$  at this surface.

According to de Jeu and Longa's theory [19] in a mean field approximation the thermodynamic properties of an infinite and homogeneous sample of polar liquid crystals with perfect orientational order are completely described by the single particle distribution function.

$$P(z, s) = 1 + 2\sigma_1 \cos(2\pi z/l) + 2s\sigma_2 \cos(2\pi z/2l), \quad (1)$$

where  $\sigma_1$  and  $\sigma_2$  are the coordinate independent monolayer and bilayer smectic order parameters, respectively. They are defined by the self-consistent equations

$$\left. \begin{aligned} \sigma_1 &= \langle \cos(2\pi z/l) \rangle_s, \\ \sigma_2 &= \langle s \cos(2\pi z/2l) \rangle_s, \end{aligned} \right\} \quad (2)$$

and

$$\langle A(z, s) \rangle_s = \int_0^{2l} A(z, s) P(z, s) dz \Big/ \int_0^{2l} P(z, s) dz, \quad (3)$$

where  $s$  is the variable defining the molecular orientation ( $s = +1$  for molecules with the dipole moment aligned parallel to the director and  $s = -1$  for molecules aligned in the opposite direction). It is easily seen that the first term in equation (1) describes the nematic phase and both second and third terms describe the monolayer smectic A structure depicted in figure 1 (a) and the bilayer smectic A structure with long range antiferroelectric order depicted in figure 1 (b). When  $\sigma_1 = \sigma_2 = 0$  then the nematic phase is found; when  $\sigma_1 \neq 0$  and  $\sigma_2 = 0$  then the  $S_{A_1}$  phase is observed and finally, when  $\sigma_1 \neq 0$  and  $\sigma_2 \neq 0$  then the  $S_{A_2}$  phase occurs. In a mean field approximation the single particle distribution function can be represented as

$$P(z, s) = A^{-1} \exp[-V(z, s)/kT], \quad (4)$$

where  $V(z, s)$  is the single particle molecular field pseudopotential and  $A$  is the normalization constant. When the pair potentials for the intermolecular interactions are assumed to be even functions of the distance between molecular centres, then the pseudopotential in equation (4) can be represented in its simplest form [21, 22]

$$V(z, s) = -[V_1 \sigma_1 \cos(2\pi z/l) + s V_2 \sigma_2 \cos(2\pi z/2l)], \quad (5)$$

where both  $V_1$  and  $V_2$  are the effective interaction constants. The free energy density  $f_0$  in a mean field approximation is given by

$$f_0 = -(N/2) \frac{1}{2} \sum_{s=\pm 1} \langle V(z, s) \rangle_s - NkT \langle \ln P(z, s) \rangle_s, \quad (6)$$

where  $N$  is the number density. In order to obtain the phase diagram it is necessary to solve the self-consistent equations (2) and select from all possible solutions ( $\sigma_1 = \sigma_2 = 0$ ;  $\sigma_1 \neq 0, \sigma_2 = 0$ ;  $\sigma_1 \neq 0, \sigma_2 \neq 0$ ) the solution minimizing the free energy density in equation (6).

However, for the convenience of our further consideration, taking into account both the interaction between the molecules and the free surface, and the liquid crystal layer inhomogeneity caused by such interaction we can use the following Landau expansion of the free energy density given by equation (6) in a series of  $\sigma_1$  and  $\sigma_2$  parameters

$$\left. \begin{aligned} f_0 &= NKTf'_0, \\ f'_0 &= A_1 \Psi^2 + A_2 \Phi^2 - B\Psi\Phi^2 + C_1 \Psi^4 + C_2 \Phi^4 + \dots, \end{aligned} \right\} \quad (7)$$

where

$$\Psi = \sigma_1/T^*, \quad \Phi = \sigma_2/T^*, \quad A_1 = \frac{1}{2}(T^* - \frac{1}{2}), \quad A_2 = \frac{\alpha}{2} \left( T^* - \frac{\alpha}{2} \right), \quad B = \alpha^2/8,$$

$$C_1 = 1/64, \quad C_2 = \alpha^4/64, \quad \alpha = V_2/V_1 \quad \text{and} \quad T^* = kT/V_1$$

is the reduced temperature. Then in order to obtain the phase diagram of the liquid crystal it is sufficient to minimize the free energy density given by equation (i.e. to solve the equations  $\partial f_0/\partial\Psi = 0$  and  $\partial f_0/\partial\varphi = 0$ ) and to select the solution corresponding to the minimum value of  $f_0$ . It can be shown that when  $\alpha < 0.7$  then the phase sequence  $N-S_{A_1}-S_{A_2}$  occurs and the  $S_{A_1}-S_{A_2}$  transition is second order at  $\alpha < 0.35$ . For the convenience of our further investigation we shall consider systems deep in the  $S_{A_1}$  phase when according to experiment [14–16] the possible  $S_{A_1}-S_{A_2}$  transition is second order in most polar compounds. Therefore, we shall set  $\alpha < 0.35$ .

Further we have to take into account the interaction between the polar molecules and the free surface. In [17, 18] it is assumed that the free surface induces polar ordering of such molecules in the first surface layer (the polar molecular heads are aligned into the bulk and aliphatic tails towards the free surface). The possibility of this type of ordering was first discussed by Parsons [20]. He supposes that the energy of interaction between the polar molecules and free surface is of the form  $-(\mathbf{n} \cdot \mathbf{v})$ , where  $\mathbf{v}$  is the unit vector normal to the free surface. When the  $z$  axis is parallel to  $\mathbf{v}$  and there is perfect orientational order then such an interaction energy can be written as

$$G(z, s) = -G_0(z)s \quad (8)$$

where  $G_0(Z)$  is an unknown function which decreases very rapidly with increasing distance to the free surface (the characteristic decay length for this function is of the order of a molecular length). It is easily seen from equation (8) that in the first surface layer the molecular orientation with the polar heads aligned into the bulk ( $s = +1$ ) is energetically more favourable than that in the opposite direction ( $s = -1$ ). Then, the contribution of the interaction between the polar molecules and the free surface to the free energy density is given by

$$f_1 = -\frac{1}{2}N \sum_{s=\pm 1} G(z, s)P(z, s). \quad (9)$$

Finally, we should take into account the inhomogeneity of the system (i.e. the dependence of both  $\sigma_1$  and  $\sigma_2$  on the  $z$  coordinate) caused by the interaction between the molecules and the free surface. When the liquid crystal layer is assumed to be homogeneous in the plane of the free surface then the  $(xy)$  dependence of both  $\sigma_1$  and  $\sigma_2$  can be neglected. Indeed, as a result of such interaction the values of the smectic order parameters at the free surface must differ from those in the bulk. The layer inhomogeneity along the  $z$  axis can be taken into account by adding to expression (7) for the free energy density of a homogeneous sample terms proportional to  $(d\sigma_1/dz)^2$  and  $(d\sigma_2/dz)^2$  [15]. Furthermore, we can use the assumption of the occurrence of a deep  $S_{A_1}$  phase when the value of the order parameter  $\sigma_1$  is sufficiently large and stable. Then we can neglect the term proportional to  $(d\sigma_1/dz)^2$  and so the contribution to the free energy density caused by the layer inhomogeneity is given by

$$f_2 = K \left( \frac{d\sigma_2}{dz} \right)^2, \quad (10)$$

where  $K$  is analogous to an elastic constant. Thus, the free energy density in a polar liquid crystal layer with regard to the interaction between the molecules and the free surface is given by

$$f(z) = f_0(\sigma_1, \sigma_2(z)) + K \left( \frac{d\sigma_2}{dz} \right)^2 + (N/2) \sum_{s=\pm 1} G(z, s)P(z, s). \quad (11)$$

### 3. Free surface-induced $S_{A_2}$ phase in a semi-infinite layer of a polar liquid crystal

Up to now we have not been interested in the thickness ( $2L$ ) of the layer. We can consider two different cases, namely very thick layer ( $2L \rightarrow \infty$ ) and a layer of finite thickness. In the first place let us investigate the former case when we need consider only one half of the layer adjoining the free surface. Because of the infinitely large thickness the properties of this half of the sample are completely independent of the second boundary surface ( $z = 2L \rightarrow \infty$ ). In addition, for a very thick (semi-infinite) layer the properties of the sample in the bulk ( $z = L$ ) can be considered as identical to those of an infinite homogeneous liquid crystal sample [1].

In order to obtain the total free energy of half of the sample per unit area of the free surface we must integrate expression (11) over  $z$  from 0 to  $L$ . We have also to take into account that although the order parameter  $\sigma_2$  is a function of  $z$  it cannot vary considerably within a distance of the order of a molecular length  $l$ , otherwise the definition of the order parameter given by equation (2) is not valid. Then, remembering that the characteristic decay length of the interaction between the molecules and the free surface is of the order of the molecular length we can obtain the contribution of such interactions to the total free energy of half of the layer as

$$F_1 = \int_0^L f_1(z) dz = -NkTg\Phi_0, \quad (12)$$

where

$$g = (2/V_1) \int_0^L G_0(z) \cos(2\pi z/2l) dz, \quad (13)$$

$\Phi_0 = \sigma_{20}/T^*$  and  $\sigma_{20}$  is the value of the parameter  $\sigma_2$  at the free surface ( $z=0$ ). Finally the total free energy of half the layer per unit area of free surface is given by

$$(F/NkT) = \int_0^L \left[ f'_0(\Psi_0, \Phi(z)) + K^*T^* \left( \frac{d\Phi}{dz} \right)^2 \right] dz - g\Phi_0, \quad (14)$$

where  $\Psi_0 = \sigma_{10}/T^*$ ,  $\sigma_{10}$  is the value of the monolayer smectic order parameter (assumed to be homogeneous over the whole sample),  $\Phi(z) = \sigma_2(z)/T^*$  and  $K^* = K/NV_1$ . Expression (14) is completely analogous to that for the free energy of a semi-infinite nematic in contact with a solid substrate [1]. The functional equation (14) must be minimized with respect to  $\Phi(z)$  and  $\Phi_0$ . Minimization with respect to  $\Phi(z)$  with due regard for the homogeneity of the  $\Phi$  order parameter in the bulk of the layer

$$\left. \frac{d\Phi}{dz} \right|_{z=L} = 0 \quad (15)$$

leads to

$$K^*T^* \left( \frac{d\Phi}{dz} \right)^2 = f'_0(\Psi_0, \Phi(z)) - f'_0(\Psi_0, \Phi_b) \quad (16)$$

and

$$(F/NkT) = f'_0(\Psi_0, \Phi_b)L + 2 \int_0^L [f'_0(\Psi_0, \Phi(z)) - f'_0(\Psi_0, \Phi_b)] dz - g\Phi_0 \quad (17)$$

where  $\Phi_b$  is the value of the parameter  $\Phi$  in the bulk of layer ( $z=L$ ). Further, from (16) we find that

$$dz = \sqrt{(K^*T^*)[f'_0(\Psi_0, \Phi(z)) - f'_0(\Psi_0, \Phi_b)]^{-1/2}} d\Phi \quad (18)$$

and hence

$$F/(NkT\xi_0\sqrt{T^*}) = \frac{f'_0(\Psi_0, \Phi_b)L}{\xi_0\sqrt{T^*}} + 2 \int_{\Phi_b}^{\Phi_0} [f'_0(\Psi_0, \Phi) - f'_0(\Psi_0, \Phi_b)]^{1/2} d\Phi - \frac{g'}{\sqrt{T^*}} \Phi_0, \quad (19)$$

where

$$\xi_0 = \sqrt{K^*} \quad \text{and} \quad g' = g/\xi_0.$$

Since the properties of the semi infinite layer in the bulk are identical to those of an infinite homogeneous sample at the same temperature,  $\Phi_b$  in equation (19) is equal to zero (the  $S_{A_1}$  phase occurs in the bulk of the layer). The value of  $\Psi_0$  can be obtained by minimization of the free energy density in equation (7) for the  $S_{A_1}$  phase (under the condition  $\Phi=0$ ). The value of  $\Psi_0$  obtained is

$$\Psi_0 = 4(\frac{1}{2} - T^*)^{1/2}. \quad (20)$$

It is easily seen that the first term in equation (19) describes the bulk contribution to the free energy of half of the layer and the other two terms give us the surface part of the free energy. Simple integration leads to the following expression for this surface part of the free energy:

$$(F/NkT\xi_0\sqrt{T^*})_{\text{surface}} = \frac{2}{3c_2} \{ [(A_2 - B\Psi_0) + C_2\Phi_0^2]^{3/2} - (A_2 - B\Psi_0)^{3/2} \} - \frac{g'}{\sqrt{T^*}} \Phi_0, \quad (21)$$

Minimizing this expression with respect to  $\Phi_0$  we can obtain

$$\Phi_0^2 = -\frac{16}{\alpha^3} \left[ \left( T^* - \frac{\alpha}{2} \right) - \alpha(\frac{1}{2} - T^*)^{1/2} \right] + \left\{ \frac{256}{\alpha^6} \left[ \left( T^* - \frac{\alpha}{2} \right) - \alpha(\frac{1}{2} - T^*)^{1/2} \right]^2 + \frac{16g'^2}{\alpha^4 T^*} \right\}^{1/2}. \quad (22)$$

It is easily seen that this expression gives a non-zero value for  $\Phi_0$  at any temperature  $T^*$  (if  $g' \neq 0$ ). In addition, we can verify that the substitution of equation (22) into equation (21) always leads to a negative value of the surface part of the free energy. Therefore, we can conclude that the existence of the bilayer  $S_{A_2}$  phase at the free surface of a thick (semi-infinite) polar liquid crystal layer with the  $S_{A_1}$  phase in the bulk is energetically favourable at any temperature. In other words the  $S_{A_2}$  phase always occurs at the free surface of a thick polar liquid crystal sample with a  $S_{A_1}$  phase. The appearance of the region of the bilayer smectic A phase at the free surface of such a sample does not have the character of a phase transition. The temperature  $T^*$  as well as the reduced interaction strength  $g'$  only influence the value of the surface bilayer smectic order parameter  $\sigma_{20}$ . The dependence of  $\sigma_{20}$  on the strength of the interaction between polar molecules and the free surface is shown in figure 2. The parameter  $T_R^* = T^* - T_C^*/T_C^*$  where  $T_C^*$  is the reduced temperature of the  $S_{A_1}$ - $S_{A_2}$  second order transition in the bulk of a thick layer (at the chosen value of  $\alpha=0.3$   $T^*=0.288$ ) is often used in papers on critical phenomena. As expected the bilayer smectic order parameter at the free surface



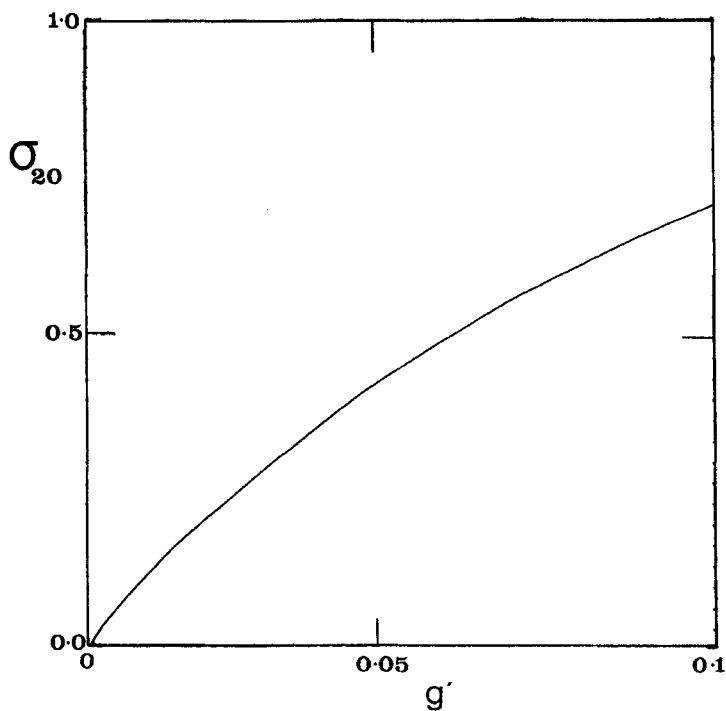


Figure 2. The dependence of the surface bilayer smectic order parameter  $\sigma_{20}$  on the strength of the interaction between polar molecules and the free surface.  $\alpha=0.3$ ;  $T_R^*=0.01$ .

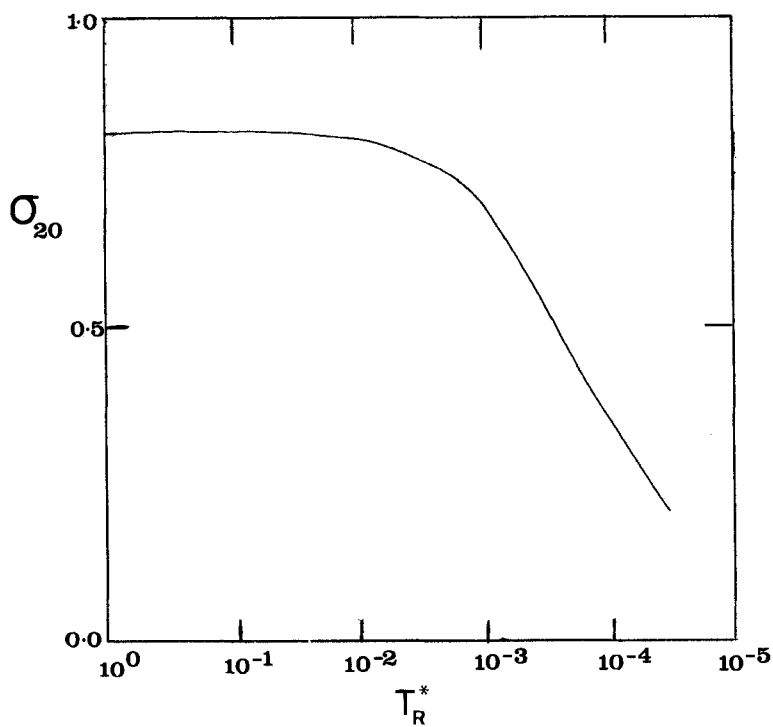


Figure 3. The temperature dependence of the order parameter  $\sigma_{20}$ .  $\alpha=0.3$ ;  $g'=0.1$ .

is proportional to the strength of the interaction between the molecules and the free surface and at small values of  $g'$  the dependence of  $\sigma_{20}$  on  $g'$  has a linear character. The temperature dependence of  $\sigma_{20}$  at fixed interaction strength ( $g'=0.1$ ) is shown in figure 3. The bilayer smectic order parameter at the free surface increases with decreasing parameter  $T_R^*$  (i.e. approaching the temperature of the  $S_{A_1}$ - $S_{A_2}$  transition) and achieves a sufficiently large value at the transition ( $\sigma_{20}=0.828$  at  $T_R^*=0$ ). It should be noted that the saturated surface bilayer smectic order has been observed experimentally [17].

One of the most important characteristics of the phenomena considered is the depth of the surface  $S_{A_2}$  phase penetration into the bulk of the layer with a  $S_{A_1}$  phase. In order to determine this characteristic we can use equation (18) and after simple integration we obtain the following dependence of the bilayer smectic order parameter on the distance to the free surface

$$Z/\xi_{\parallel} = \frac{1}{2} \left\{ \ln \frac{H_0 - a_0}{H_0 + a_0} - \ln \frac{H(z) - a_0}{H(z) + a_0} \right\}, \quad (23)$$

where

$$a_0 = \left\{ \frac{\alpha}{2} \left[ \left( T^* - \frac{\alpha}{2} \right) - \alpha \left( \frac{1}{2} - T^* \right)^{1/2} \right] \right\}^{1/2},$$

$$H_0 = \left[ a_0^2 + \frac{\alpha^4}{64} \Phi_0^2 \right]^{1/2},$$

$$H(z) = \left[ a_0^2 + \frac{\alpha^4}{64} \Phi^2(z) \right]^{1/2},$$

and

$$\xi_{\parallel} = \xi_0 \sqrt{(T^*/a_0)}$$

is the longitudinal correlation length of the  $S_{A_2}$  fluctuations in the vicinity of the  $S_{A_1}$ - $S_{A_2}$  second order transition. Analysis of expression (23) leads us to the following results. When the temperature is sufficiently higher than that of the  $S_{A_1}$ - $S_{A_2}$  second order transition in the liquid crystal bulk ( $a_0^2 \gg (\alpha^4/64)\Phi_0^2$ ) then expression (23) may be simplified and we can obtain a simple exponential dependence of the bilayer smectic order parameter on the distance to the free surface

$$\Phi(z)/\Phi_0 = \sigma_2(z)/\sigma_{20} = \exp(-z/\xi_{\parallel}). \quad (24)$$

If the depth  $\xi_p$  of the surface  $S_{A_2}$  phase penetration into the bulk with a  $S_{A_1}$  phase is defined as the distance to the free surface at which the bilayer smectic order parameter is  $e$  times smaller than that at the free surface, then according to equation (24) this depth coincides with the longitudinal correlation length for  $S_{A_2}$  phase fluctuations in the  $S_{A_1}$  phase. However, in the vicinity of the  $S_{A_1}$ - $S_{A_2}$  transition in the bulk (when  $a_0^2 \ll (\alpha^4/64)\Phi_0^2$ ) relation (23) leads us to a non-exponential dependence of the bilayer smectic order parameter on the distance to the free surface

$$\Phi(z)/\Phi_0 = \sigma_2(z)/\sigma_{20} = \left[ 1 + \frac{\alpha^2 \Phi_0}{8 \xi_0 \sqrt{T^*}} z \right]^{-1}. \quad (25)$$

Thus, the exponential dependence for the bilayer smectic order parameter transforms into a non-exponential dependence with decreasing  $T_R^*$  (i.e. approaching the  $S_{A_1}$ - $S_{A_2}$

transition in the bulk sample) (see figure 4). It is clearly seen that the dependence given by equation (25) is sharper than the exponential dependence in equation (24). This theoretical result is in qualitative agreement with experimental observation of a non-exponential sharp decay of the bilayer smectic structure with the penetration into the bulk [17]. The dependence of the ratio  $\xi_p/\xi_{\parallel}$  on  $T_R^*$  at various values of the strength of interaction between polar molecules and the free surface are shown in figure 5. It is seen that the larger strength of the interaction  $g'$  corresponds to a larger value of  $T_R^*$  (i.e. a higher temperature) at which this ratio begins to deviate from one (i.e. the dependence of the bilayer smectic order parameter on the distance to the free surface begins to deviate from an exponential dependence). A simple qualitative explanation of this fact is as follows. The derivation of the exponential decay of the smectic (as well as the nematic) order fluctuations is based on the assumption of their small value [23]. In this case the bilayer smectic order fluctuations are proportional to the surface bilayer smectic order parameter  $\sigma_{20}$ . The value of  $\sigma_{20}$  is proportional to the strength of the interaction between polar molecules and the free surface. Therefore, the larger  $g'$  is the sooner (at a higher temperature)  $\sigma_{20}$  achieves a value sufficient to violate the validity of the assumption which forms the basis of the derivation of the exponential decay of the bilayer smectic order fluctuations.

As for the absolute value of  $\xi_p$ , we can find from figure 5, for example, that at  $g' = 0.01$   $\xi_p \approx \xi_{\parallel}$  at  $T_R^* = 0.01$  and  $\xi_p \approx 0.8\xi_{\parallel}$  at  $T_R^* = 0.001$ . A typical value of  $\xi_{\parallel}$  can be obtained from X-ray studies of the second order  $S_{A_1}$ - $S_{A_2}$  transition in the binary

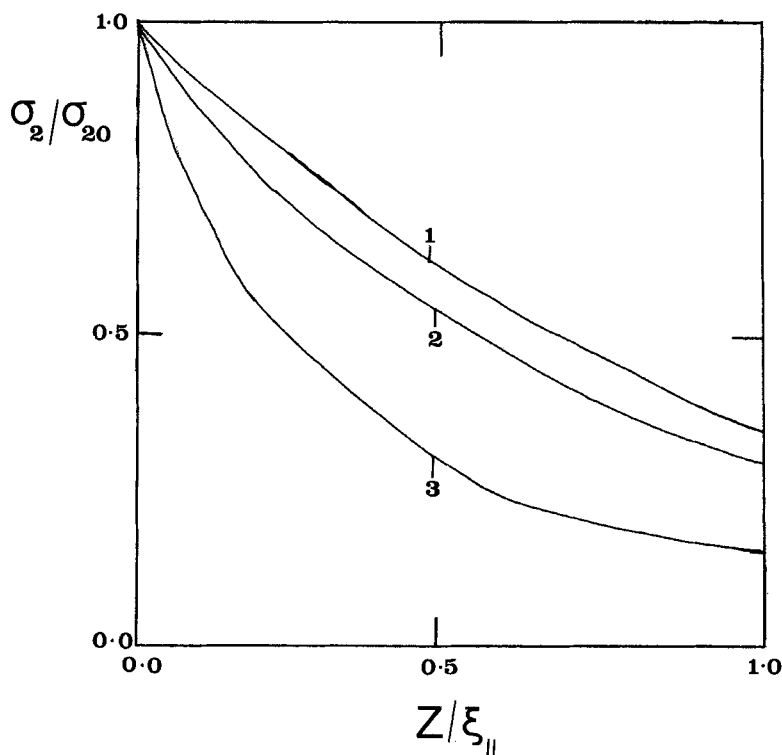


Figure 4. The dependence of the bilayer smectic order parameter  $\sigma_2$  on the distance to the free surface at various values of  $T_R^*$ .  $\alpha = 0.3$ ;  $g' = 0.1$ ; 1 -  $T_R^* = 0.1$ ; 2 -  $T_R^* = 0.01$ ; 3 -  $T_R^* = 0.001$ .

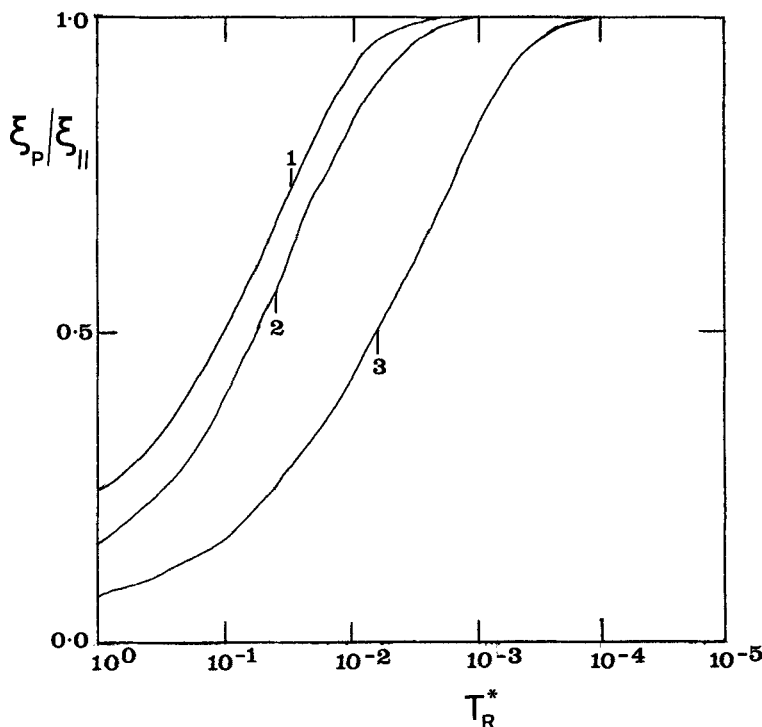


Figure 5. The dependence of the  $\xi_p/\xi_{||}$  ratio on  $T_R^*$  at various values of the strength of the interaction between the polar molecules and the free surface.  $\alpha=0.3$ ; 1- $g'=0.005$ ; 2- $g'=0.01$ ; 3- $g'=0.1$ .

mixture of polar (DB6) and non-polar (TBBA) liquid crystals [24]. According to these data  $\xi_{||} \approx 90 \text{ \AA}$  at  $T_R^* = 0.01$  (i.e. at a temperature about 4 K higher than that of the  $S_{A_1}$ - $S_{A_2}$  transition) and  $\xi_{||} \approx 300 \text{ \AA}$  at  $T_R^* = 0.001$ . Hence  $\xi_p = 90 \text{ \AA}$  at  $T_R^* = 0.01$  and  $\xi_p \approx 240 \text{ \AA}$  at  $T_R^* = 0.001$ . Since the thickness of the smectic A monolayer is about  $30 \text{ \AA}$  [17, 18] we can conclude that at  $T_R^* = 0.01$  and  $T_R^* = 0.001$  the  $S_{A_2}$  phase forms about three and eight smectic monolayers, respectively, at the free surface of a polar liquid crystal with a  $S_{A_1}$  phase in its bulk. These theoretical results are in qualitative agreement with experiment [17, 18].

It should be added that the notion of the depth of the surface  $S_{A_2}$  phase penetration into the bulk with a  $S_{A_1}$  phase allows us to answer the question as to which real layers can be considered as semi-infinite. It is obvious that the liquid crystal layer can be considered as semi-infinite if its thickness  $2L \gg \xi_p$ . Then the properties of the layer in the bulk ( $z=L$ ) are really identical to those of the infinite homogeneous sample.

#### 4. Free surface-induced $S_{A_2}$ phase in a freely suspended polar liquid crystal film

Now let us consider the case of a polar liquid crystal layer of finite thickness when the condition  $2L \gg \xi_p$  is not valid. It is clear that in this case we cannot neglect the influence of the second boundary surface of the layer on its part adjoining the free surface. Also, we cannot assume the properties of the sample in the bulk ( $z=L$ ) to be identical to those of an infinite homogeneous sample with a  $S_{A_1}$  phase (i.e. we cannot set  $\sigma_{2b} = \Phi_b = 0$  and  $d\Phi/dz|_{z=L} = 0$ ). In addition, we cannot divide the free energy of the layer into bulk and surface parts as we did for a semi-infinite layer.

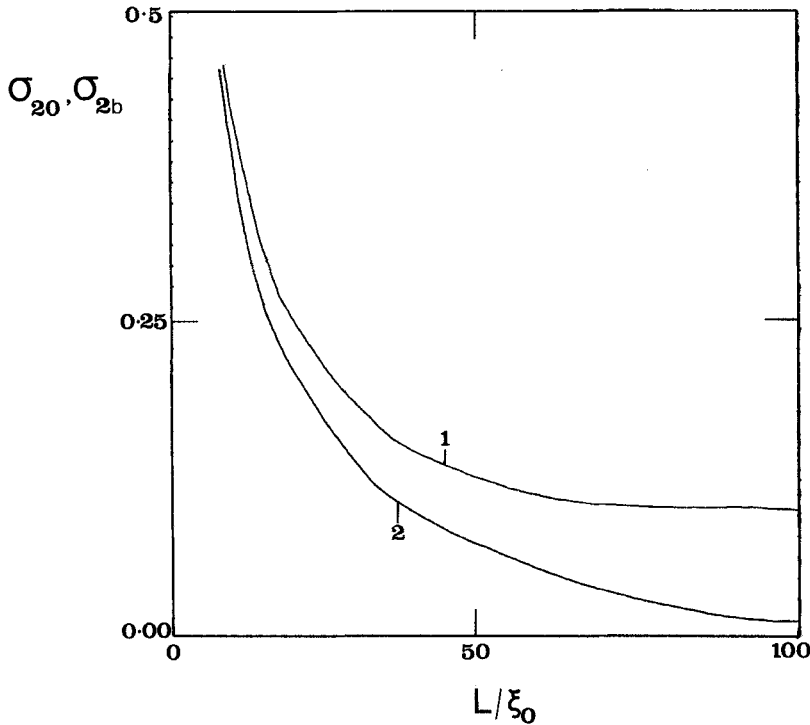


Figure 6. The dependence of the bilayer smectic order parameters  $\sigma_{20}$  and  $\sigma_{2b}$  on the thickness of a freely suspended film of polar liquid crystal  $\alpha=0.3$ ;  $T_R^*=0.01$ ;  $g'=0.01$ . 1— $\sigma_{20}$ ; 2— $\sigma_{2b}$ .

However, when the layer is freely suspended film, then all of these difficulties can be overcome. First, as both the boundary surfaces of the freely suspended film are identical free surfaces, then we need only consider half of the layer adjoining the free surface. Secondly, since the bilayer smectic order parameter has a maximum value at the free surfaces of the film then it must have a minimum value in the middle ( $z=L$ ), and we can again use relation (15) which leads to expression (19) for the free energy of the half of liquid crystal layer considered. Now we must minimize this expression with respect to both  $\Phi_0$  and  $\Phi_b$  because we cannot simply set  $\Phi_b=0$  as we did for a semi-infinite layer. Minimization of equation (19) with respect to both  $\Phi_0$  and  $\Phi_b$  leads to

$$\left. \begin{aligned} & 2[f'_0(\Psi_0, \Phi_0) - f'_0(\Psi_0, \Phi_b)]^{1/2} - \frac{g'}{\sqrt{T^*}} = 0, \\ \text{and} & \frac{df'_0(\Psi_0, \Phi_b)}{d\Phi_b} \left\{ 1 - \frac{\xi_0 \sqrt{T^*}}{L} \int_{\Phi_b}^{\Phi_0} \frac{d\Phi}{[f'_0(\Psi_0, \Phi) - f'_0(\Psi_0, \Phi_b)]^{1/2}} \right\} = 0, \end{aligned} \right\} \quad (26)$$

which can only be solved numerically. After substitution of the values obtained for  $\Phi_0$  and  $\Phi_b$  into expression (19) we must compare the free energy of half of the layer with the free energy per unit area of the free surface of an analogous half of the layer with a  $S_{A_1}$  phase ( $F_{S_{A_1}} = NkTf'_0(\Psi_0, \Phi=0)L$ ). If expression (19) is smaller, than the free energy of the  $S_{A_1}$  phase then  $\Phi_0 \neq 0$  and  $\Phi_b \neq 0$ . For the opposite case  $\Phi_0 = \Phi_b = 0$ . It is obvious that the result obtained must depend not only on the strength of the interaction between the polar molecules and the free surface ( $g'$ ), and temperature ( $T_R^*$ ) for a semi-infinite layer, but also on the thickness of the freely suspended film ( $L$ ). Typical

dependences of both the surface  $\sigma_{20}$  and bulk  $\sigma_{2b}$  bilayer smectic order parameters on the thickness of the freely suspended film are shown in figure 6. It is seen that in very thin films ( $L/\xi_0 \sim 10$ )  $\sigma_{20}$  and  $\sigma_{2b}$  are practically identical and nearly four times larger than  $\sigma_{20}$  in a semi-infinite layer at the same values of  $g'$  and  $T_R^*$  (see figure 2). The values of both  $\sigma_{20}$  and  $\sigma_{2b}$  decrease and the difference between them increases with increasing thickness of the freely suspended film. In addition, when the ratio  $L/\xi_0 \rightarrow \infty$ , then  $\sigma_{2b} \rightarrow 0$ , and  $\sigma_{20}$  approaches the value of the surface bilayer smectic order parameter in a semi-infinite layer (i.e. the results obtained for freely suspended films of finite thickness approach those for a semi-infinite layer). Thus we can conclude that in sufficiently thick freely suspended films with a  $S_{A_1}$  phase the regions of the bilayer  $S_{A_2}$  phase always appear at the free surfaces. This free surface-induced  $S_{A_2}$  phase can completely occupy the sample with decreasing thickness of the freely suspended film (i.e. the  $S_{A_1}$  phase in all polar liquid crystal samples undergoes a transition to a  $S_{A_2}$  phase). The critical film thickness for such a transition can be estimated as follows. The film can be assumed to be completely occupied by the  $S_{A_2}$  phase if half of its thickness is equal to the depth of the surface  $S_{A_2}$  phase penetration into the bulk with a  $S_{A_1}$  phase. Then the bilayer smectic order parameter in the bulk  $\sigma_{2b}$  must be  $e$  times smaller than the surface bilayer smectic order parameter  $\sigma_{20}$ . It is seen from figure 6 that the corresponding value of  $L$  is about  $80 \xi_0$  at  $g' = 0.01$  and  $T_R^* = 0.01$ . According to the results in [24]  $\xi_0 \approx 2 \text{ \AA}$ . Thus, the critical thickness of a freely suspended polar liquid crystal film is equal to about  $320 \text{ \AA}$ , which corresponds to the thickness of about ten smectic monolayers. In other words, freely suspended films with a thickness of about 10 smectic monolayers can be completely occupied by the  $S_{A_2}$  phase at a temperature within the range of the  $S_{A_1}$  phase in a thick sample. It should be added that the  $S_{A_2}$  phase may not be observed at all in a thick sample, if, for example, the  $S_{A_1}$ - $S_{A_2}$  transition temperature is lower than that of crystallization. At present we have no information about the experimental observation of such phenomena. However, we should note that the appearance of smectic phases not existing in thick samples was observed experimentally in freely suspended films with a thickness of about 20 smectic monolayers [25]. Therefore, the experimental investigation of phase polymorphism in thin freely suspended films would be of particular interest.

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